Biomechanics of Dromaeosaurid Dinosaur Claws: Application of X-Ray Microtomography, Nanoindentation, and Finite Element Analysis

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ABSTRACT

Dromaeosaurid theropod dinosaurs, such as Velociraptor, possess strongly recurved, hypertrophied and hyperextensible ungual claws on the pes (digit II) and manus. The morphology of these unguals has been linked to the capture and despatching of prey. However, the mechanical properties or, more importantly, the mechanical potential of these structures have not been explored. Generation of a 3D finite element (FE) stress/strain contour map of a Velociraptor manual ungual has allowed us to evaluate quantitatively the mechanical behavior of a dromaeosaurid claw for the first time. An X-ray microtomography scan allowed construction of an accurate 3D FE mesh. Analogue material from an extant avian theropod, the pedal digit and claw of an eagle owl (Bubo bubo), was analyzed to provide input data for the Velociraptor claw FE model (FEM). The resultant FEM confirms that dromaeosaurid claws were well-adapted for climbing as they would have been resistant to forces acting in a single (longitudinal) plane, in this case due to gravity. However, the strength of the unguals was limited with respect to forces acting tangential to the long-axis of the claw. The tip of the claw functioned as the puncturing and gripping element of the structure, whereas the expanded proximal portion transferred the load stress through the trabeculae and cortical bone. Enhanced climbing abilities of dromaeosaurid dinosaurs supports a scansorial phase in the evolution of flight. Anat Rec, 292:1397–1405, 2009. © 2009 Wiley-Liss, Inc.

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INTRODUCTION

Predatory dinosaurs (Theropoda) were diverse and successful animals during the Mesozoic Era and are currently represented in modern ecosystems by their direct descendants, the birds (Holtz and Osmolska, 2004; Var-gos and Fallon, 2004; Pennisi, 2005). Nonavian theropods ranged in size from the tiny Microraptor zhaoianus (with a trunk length of only 47 mm) to the huge Tyrannosaurus rex (up to 14 m in length) (Holtz and Osmolska, 2004). The theropod clade that forms the focus of this study, Dromaeosauridae (Matthew and Brown, 1922), was an obligatory bipedal group of largely-built cursors (estimated live weight from 20 to 80 kg) that were close to avian ancestry (Norell and Makovicky, 2004). Dromaeosaurid limbs exhibit numerous anatomical specializations including long raptorial hands with three functional digits, highly mobile hand-wrist elements, unique caudal vertebrae with highly elongate prezygapophyses adapted to assist balance, and a recurved, hypertrophied and hyperextensible claw on pedal digit II (Ostrom, 1969, 1990). The functional and palaeobiological significance of the strongly recurved manual ungual of the Late Cretaceous Asian dromaeosaurid Velociraptor (Norell and Makovicky, 1999) forms the subject of this article.

Dromaeosaurid unguals are commonly interpreted as weapons for disemboweling (with pedal unguals) or capturing/manipulating (with manual unguals) large-bodied prey, such as iguanodontian ornithopods (Ostrom, 1969). The effectiveness of the enlarged pedal digit II ungual as a disemboweling implement has been challenged by recent experiments using a hydraulic reconstruction of a dromaeosaurid hind limb (Manning et al., 2006). However, the mechanical behavior of dromaeosaurid claws while under load has not been satisfactorily explored.

Homologous structures in the feet of extant perching/climbing birds provide useful insights into the palaeobiology, evolution, form and function of dromaeosaurid claws. However, an understanding of the microarchitecture and 3D properties of dromaeosaurid unguals would provide a more robust interpretation of their biomechanical function with the potential to provide new insights into behavior. To determine the displacements (deformations), strains (displacement gradients), and stresses (force intensities) that result from subjecting dromaeosaurid claws to loads, it was necessary to generate a finite element model (FEM).

Finite element analysis (FEA) provides a useful technique to quantitatively analyze complex 3D biological systems. However, the physical properties of the material being modeled must be known if realistic FEMs are to be generated. Mineralized fossil material cannot be used to determine the original material properties of a bone (Young’s modulus, Poisson’s ratio, etc.) so it is necessary to identify suitable modern analogue material. Moreover, specifying biomaterial properties for FEA is difficult as they can vary within the structure under investigation according to location (inhomogeneous materials), the directions in which forces are applied (anisotropic behavior), the loading rate (as occurs in poroelastic and viscoelastic materials), and the magnitude of the load (which can lead to nonlinear effects) (Beaupre and Carter, 1992). We constrained the biomaterial specifications used in this study using both evolutionary and functional cues.

Claw form and function varies widely among vertebrates, however, claw sheath composition does not. Claw sheaths, nails, and hooves are composed of keratin, a strong, fibrous protein (Raven and Johnson, 1992). Keratin protects the bone of the terminal phalanx and assists in providing traction during climbing, prey capture, and occasionally killing (brief review in Manning et al., 2006)]. The morphology of dromaeosaurid unguals, combined with rare soft tissue preservation (Clark et al., 1999), indicates that theropod claws would have been similarly protected. Extant Phylogenetic Bracketing [EPB: Witmer (1995)] can be used to reconstruct the structure and properties of the keratin claw sheaths possessed by nonavian dinosaurs. Mammal claw sheaths are composed of α-keratin (helical), but bird and reptile claws are composed of β-keratins (pleated-sheet) (Fraser and MacRae, 1980). As nonavian dinosaurs fall within the EPB formed by birds and crocodilians and leave similar osteological traces (attachment grooves) it is likely that the claw sheaths of these animals were also composed of β-keratin (Manning et al., 2006).

The keratinous claw sheath is strongest mechanically along its parasagittal plane (Vincent and Owers, 1986; Bonser, 2000), representing a function of the microstructural arrangements of the individual keratin fibers (Beaupre and Carter, 1992). A requirement of all biological materials subject to loading is that stresses are kept within safe limits (Alexander, 1981), and it is likely that the claw sheath and ungual phalanx of dromaeosaurid manual claws would have had similar safety limits to those of extant raptorial falconiform and striiform birds. Similarities between dromaeosaurid and owl claw morphology are striking, in terms of both internal and external anatomy. Comparable distributions of cortical and trabecular bone in these taxa allow confident reconstruction of the mechanical properties of dromaeosaurid claws.

MATERIALS AND METHODS

Fossil Material

A manual ungual of Velociraptor mongoliensis (Manchester Museum, University of Manchester, specimen LL.12392) was Computed tomography (CT) scanned to provide a 3D dataset for the finite element (FE) mesh (see below for details). V. mongoliensis is known primarily from the Djadokhta Formation (Campanian) of Mongolia (Osborn, 1924). Velociraptor is closely related to Deinonychus and Dromeosaurus; however, it is distinguished from all other dromaeosaurids by its relatively long, low skull and depressed muzzle (Sues, 1977).

Extant Material

A subadult/juvenile eagle owl (Bubo bubo) from the biological sciences collections, University of Manchester, was used in this study. The bird had a frozen weight of 1996 g. Bubo bubo is a member of the family Strigidae, which consists of all the typical owls (Penteriani et al., 2013).

A fully-intact terminal ungual phalanx and part of the adjacent phalanx, both from pedal digit III of the right pes, were amputated from the Eagle Owl (Fig. 1). The bones, along with all the associated soft tissue were
nano-indented to provide input data for the FE mesh of the CT scanned Velociraptor claw (Table 1).

Claw Geometry

The arc for both the Velociraptor and owl claws were measured using Feduccia’s (1993) method (Fig. 2).

A perpendicular (CD) is drawn to bisect the chord (AB) of the inner arc, which is bisected at point X. Perpendiculars are drawn to bisect the chords AX and XB. These perpendiculars when extended, meet at the center (E) of the circle of which the arc is part. The radii are then drawn to each end of the arc (AE and BE). The angle (Y) between these radii is a measure of the degrees of arc (Feduccia, 1993).

X-ray Microtomography

X-ray micro-tomography (XMT) is a nondestructive evaluation technique that allows the internal structure of an object to be imaged by reconstructing the spatial distribution of the local linear X-ray absorption coefficients of the materials/phases contained within. This provides a virtual 3D representation of the internal architecture of an object from which two-dimensional (2D) cross-sectional slices can be extracted along the three orthogonal planes of the object (Elliott and Dover, 1982; Marrow et al., 2004; Babout et al., 2005). In conventional radiography, the image plane is approximately normal to the X-ray beam, and the image represents total X-ray attenuation through the object. CT computes a 3D digital representation from a series of 2D X-ray radiographs taken around a single axis of rotation, or a 2D slice perpendicular to the axis of rotation for 1D line detector (fan beam geometry). The digital image is stored as an array of numbers representing local X-ray attenuation values for each of the small volumetric pixels (voxels) that make up the slice, these attenuation data are represented in the reconstructed image as a series of grey scale values. By thresholding the volume so as to associate different grey levels with different materials the 3D object can be converted into a microstructurally faithful 3D mesh suitable for FEM describing the geometry of each constituent. Once each phase has been ascribed mechanical properties, it is possible to predict the loads experienced throughout a body according to its exact size, shape and volume.

Measurements were carried out using a high-resolution computerized tomography and digital radiography system (HMXST 225) from X-Tek Systems Ltd., employing a microfocus X-ray source (5 µm focal spot size) capable of tube potentials up to 225 kV. The imaging arrangement is based on cone beam geometry. In such an arrangement, the voxel resolution of the reconstructed 3D volume depends on the source-to-object distance. The object was placed on a manipulator situated between the X-ray source and the detector system (consisting of image intensifier and CCD), providing magnification and rotation for collection of 470 radiographs over 180°. The radiographs were collected using a tube potential of 50 kV with a tungsten anode target giving an approximately 5 µm point source. To shape the energy spectrum of the X-ray beam, and thus improve the image quality in the reconstructed slices (i.e., increasing the signal-to-noise ratio), a 0.25 mm thick copper X-ray filter was placed just behind the point source and in front of the sample during image acquisition. This filter removes low-energy photons from the polychromatic beam, thus minimizing artefacts (noise) in the reconstructed slices and the distribution of voxels with different intensities. The 3D tomographic volumes were reconstructed using a cone beam extension of the filtered backprojection algorithm developed for fan beams (Kak and Slaney, 1987; Youssef et al., 2005) 32 frames were averaged for each of the 470 projections (using an exposure time for each frame of 120 ms). The raw 3D volume had a pixel resolution of 17 µm, reconstructed at a quarter of maximum resolution for easier data manipulation during the subsequent meshing routine.

In situ Compression

Considering the EPB method (Witmer, 1995), bone and keratin samples were collected from the pes of an eagle owl (Bubo bubo). The micromechanical properties of the keratin layer and cortical bone of the eagle owl claw were determined from force displacement curves generated by an MTS XP nanoindenter with a Berkovich diamond indenter (Kanari et al., 1997a,b).
Finite Element Model

A microstructurally faithful FE mesh of the Velociraptor ungual was created in 3D directly from the raw tomography images using the ScanIP™ and ScanFE™, commercial packages from Simpleware Ltd. The image threshold values used for segmentation (the process of discriminating between the various structures from the image) were selected according to estimates for the volume fractions of cortical bone, trabecular bone, and porosity. The CT imaging conditions used are summarized in Table 1.

In FEA, individual FEs can be of any shape. However, with voxel image data, it is most convenient to use brick elements which, when they are perfect cubes, are the FE analogue of voxels. A one-to-one correlation between brick elements and voxels would lead to an FE mesh several orders of magnitude larger than can be processed using the Abaqus software. Therefore, the model was down-sampled to allow the analyses to run on a desktop computer. The resultant FE mesh contains ~500,000 elements rather than 30 million voxels. Care was taken to ensure that the simplified mesh still captured the detail of the claw microstructure. A further simplification for this preliminary model was to ignore the keratin layer on the outer surface of the claw, with the focus being on the modeling of the cortical and trabecular bone, with a true representation of porosity in the structure (Fig. 3).

Loading: Stress/Strain Analysis

A FE mesh was created to represent the entire ungual (Fig. 4). The original fossil (Fig. 3) is fractured through the middle and the two pieces have been glued together, slightly out of alignment. Before the FE mesh was generated, the two pieces of the claw were digitally realigned, and the fracture was removed. The latter was achieved by inflating the volume so that the fractured surfaces healed. The volume was then returned it to its original shape by reversing the inflation procedure, while algorithmically enforcing the continuity of the surface across the original fracture zone, preventing the fracture from reappearing.

Figure 4 shows where the loads and boundary conditions were applied to the mesh. The aim was to recreate the likely forces experienced by the claw in use, during prey capture, climbing, etc. A distributed force was applied over a surface area representative of the attachment site for the flexor tendon. The initial force of 400N was calculated using the approximate weight of Velociraptor as a guide (Manning et al., 2006). The FE mesh was subjected to a linear elastic analysis using ABAQUS CAE 6.6 with the input data being determined by nanoindentation and from literature (above).

RESULTS

Claw Geometry

The Velociraptor manual ungual modeled in this study (minus its keratinous sheath) possessed a claw arc measurement of 127°.
In situ Compression

Reliable indentation properties could not be obtained for the trabecular bone due to its highly porous nature; values for this were obtained from the literature (Van Ruijven et al., 2002). The indenter tip was moved at a rate of $\frac{5}{\text{C}24}$ nm s$^{-1}$ to a chosen cross-sectional area of the eagle owl claw and contact between the two determined at a specific applied load. The indentation was conducted at a load range from 0 to 60 N. Ten different points were indented at each load for the keratin layer and eleven different points indented for the cortical bone. The results derived from the loading–unloading cycles are shown in Table 2.

<table>
<thead>
<tr>
<th>Region indented</th>
<th>Number of indents</th>
<th>Mean modulus (GPa) 1.d.p.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Keratin layer</td>
<td>10</td>
<td>6.8 ($\pm$1.5)</td>
</tr>
<tr>
<td>Cortical bone</td>
<td>11</td>
<td>21.1 ($\pm$2.3)</td>
</tr>
<tr>
<td>*Trabecular bone</td>
<td>–</td>
<td>19.0</td>
</tr>
</tbody>
</table>

Note: the Poisson’s ratios used were: 0.3, 0.32, and 0.2 (Kitchener and Vincent, 1987; Van Ruijven et al., 2002) for the keratin, cortical and trabecular phases, respectively. These values were employed for subsequent FEM using a commercial FEM package (Abaqus).

XMT and Finite Element Model

Variation in bone density and microstructure (trabecular versus cortical bone) has an important influence on a system’s stiffness and strength (Carter and Hayes, 1977). Differences in density and microstructure, when coupled with the anisotropic properties of bone (trabecular and cortical) and keratin, leads to a complex composite system that is difficult to model. However, the cortical and trabecular bone in the Velociraptor ungual displays a distinct structural orientation that is perpendicular to the ungual long-axis that can be modeled using high element count FEA (Fig. 5). Currently there is no way of modeling element-by-element anisotropy and within each FE isotropy has been assumed.

Model values for the Young’s modulus (summarized in Table 2) and Poisson’s ratio (Kitchener and Vincent, 1987; van Ruijven et al., 2002) were assigned isotropically to the elements that represented the cortical and trabecular phases of FE meshes. Upon applying the loading conditions shown in Fig. 4, the stress contour plots illustrated in Fig. 6 were obtained.

The initial force of 400N applied to the ungual was deduced from the approximate weight of the Velociraptor (Manning et al., 2006). It would be fair to assume that the stresses generated at this load provide a reasonable baseline for assessing the loads that would be encountered when a dromaeosaur used its claws to grasp, lift, and climb. In the living animal, several factors would affect the intensity and distribution of load over the claw/substrate contact and its transmission through the internal microstructure of the claw. For example, the mechanical behavior of living tissues (flexibility, strength at different strain rates) is more complicated than has been used here. That coupled with the rate of ascent when climbing would also affect the values of peak loads.

The maximum value of stress generated by the FEM under the assumed loading conditions, with a force of 400N, is around 60 MPa. This is much less than the typical range of values reported in the literature for the failure stress of extant claws of 150–200 MPa, indicating that it is reasonable to assume that the Velociraptor would have been able to support its weight on a very small contact surface while climbing.

It should be noted that the model chosen to represent material behavior in the current study does not include a failure criterion. Therefore, it may be of interest for future work to carry out experimental tests such as three-point bending of the eagle owl claw. This would give an estimate of the failure strength (i.e., ultimate
limit load) of the *Velociraptor* ungual over a range of different scenarios.

**DISCUSSION**

The claw geometry of mammals and birds (both pedal and manual) correlates well with arboreal and terrestrial habitats. In a study of over 500 species of birds, Feduccia (1993) demonstrated that modern ground and tree-dwelling birds could be distinguished on the basis of pedal claw curvature. The claw arc measurements for ground birds ranged from 52.2 to 77.6°, those of perching birds from 101.8 to 125.3°, and those of trunk-climbers form 129.5 to 161.6° (Feduccia, 1993). The ungual phalanges on pedal digit II of most dromaeosaurids are large, narrow, pointed, and strongly curved with lateral grooves for the claw sheath. That of *Deinonychus antirrhopus* (YPM 5205) possesses an inner arc measurement of 160° (Ostrom, 1969). Comparisons with extant birds therefore support a climbing function for this structure. Although a climbing/grappling function for the dromaeosaurid pedal digit II ungual during predation has been suggested, it may also have provided assistance during arboreal activity (Chatterjee, 1997; Manning et al., 2006). The *Velociraptor* manual ungual modeled in this study (minus the keratinous sheath) possessed a claw arc measurement of 127°. It is likely that this claw arc angle would increase by 10–15% with the addition of a keratinous sheath, meaning the claw would fall comfortably within Feduccia’s (1993) perching and trunk-climber bracket. This adds further support to the proposal that dromaeosaurids might have used their claws to assist climbing behavior (Chatterjee, 1997; Manning et al., 2006).

The central hypothesis regarding claw function in this study was based upon both internal and external pedal morphology. The role of the FE analysis was to test the relationship of form and function, from an engineering point of view. The preliminary study was carried out using the microtomographic scan of the *Velociraptor* manual claw, given limited access to complete pes unguals. The findings from this study can be compared with pes claws, on the basis of geometric and architectural similarity (Manning et al., 2006), with only slight variation in morphology. Pes claws are typically larger than those of the manus, meaning that it could sustain larger loads than those subjected to the model used in the current simulation. The biomechanical function of morphologically comparable structures of similar geometry, but dissimilar size, should behave in a dynamically similar fashion (Alexander, 1989). Future work will apply the methods from this study, by using a pedal ungual phalanx of *Deinonychus* (Ostrom, 1969) to test this hypothesis further.

Many birds are successful climbers, using their feet, tails, beaks, and, in the case of the South American hoatzin (*Opisthocomus hoazin*), their forelimbs while fledglings (Dominguez-Bellom, 1994). The tail feathers of some birds (woodpeckers, woodhoopoes, woodcreepers, treecreepers, and some species of ovenbirds) can provide additional support (McFarland et al., 1979). Ostrom (1969) suggested that dromaeosaurs possessed a reversed hallux, but more recent work (Norell and Makovicky, 1999) has indicated that this reduced digit was positioned craniolaterally and high on the metatarsus.

Ostrom (1969) noted that the recurved shape of the *D. antirrhopus* “killing claw” coincided with the axis of maximum force that could be delivered and concluded that it was adapted for penetrating the flesh of prey. Carpenter (1998) suggested that this morphology was able to provide a piercing function. This study supports both interpretations, but suggests this piercing ability...
did not facilitate the disemboweling of prey, but was instead involved in scansorial behavior.

The force generation of a limb is always primarily to oppose gravity. Thus a dromaeosaur on a horizontal surface needs to generate a primarily vertical force by generating an extensor moment at the ankle (plantarflexion), knee, and hip. As the surface becomes more vertically oriented, the force required is still vertical but because of the orientation change of the animal this becomes a progressively more caudally directed force. This is achieved by fixing the foot to the surface and generating a flexor moment at the ankle (dorsiflexion) and hip but an extensor moment at the knee. Thus, it might be expected that if climbing behavior was important in dromaeosaurids, a functional switch leading to a more important role for the flexor muscles (particularly around the foot, ankle, and hip) would have occurred, as has been observed in extant scansorial birds (Stolpe, 1932; Moreno, 1991). Myological analyses of extant climbing birds have demonstrated that the hindlimb flexors are stronger and better-developed than the extensors (Raikow, 1994). The caudodorsal shift of the pubis coupled with a knee-based flexor system (Gatesy, 1990) in maniraptoran theropods likely marks this switch from extensor to flexor muscles in the hind limb. Adaptation in hind limb flexor muscles would have also assisted deployment of the recurved claws in climbing and prey capture.
The evidence suggests that the form and resultant function of the “killer claws” was adapted to assist prey capture. All predators have to be adept at hunting, capturing, and killing (McFarland et al., 1979). Dromaeosaur claw geometry appears functionally adapted for prey capture, not for killing. In the light of earlier experimental results (Manning et al., 2006) and this study, it is possible to review the interpretation of the unique fossil (Fig. 7) of a Velociraptor and Protoceratops (Kielan-Jaworska and Barsbold, 1972).

The remarkable fossil of the dromaeosaur Velociraptor entangled with an herbivorous dinosaur, Protoceratops (IGM 100/25), often called the “fighting pair” (Carpenter, 1998), may give some insight into claw function. The pair was collected from the Dinosauria Formation (Late Cretaceous) of Mongolia (Kielan-Jaworska and Barsbold, 1972) and has caused much debate as to how the two came to be preserved together (Barsbold, 1974; Osmólska, 1993; Unwin et al., 1995; Carpenter, 1998). This unique fossil possibly shows a fatal stalemate, with the predators right leg pinned under the prey’s body, the left foot hooked into the throat region and its right arm held in the jaws of its prey. The relative position of the predator’s ensnared limbs meant it was pulled close to its prey and trapped. Unable to reposition its limbs, it was impossible for the Velociraptor to deploy its jaws and finish the attack, like two boxers hugging each other and unable to throw a punch.

Flexor tendon adaptations in the foot of Velociraptor might have contributed to the fatal embrace. The tendons (medius flexor digitorum longus) that flex the toes of extant perching birds are modified so that they lock the foot in a tight grip (McFarland et al., 1979). This grip is a result of specialized tendons that possess a ratchet-like mechanism in their sheaths, whereby numerous small projections on each tendon engage the ridges on the adjacent walls of the sheath (Welty, 1975). This allows birds to relax and sleep whilst perched, without releasing their grip and falling. The weight of the bird is enough to engage this elegant system. The underlying flexor tendons on the feet of dromaeosaurs might have possessed a similar ratchet-like “locking” mechanism on the second toe. The possession of such flexor tendons would have been an energy-efficient way of countering the effect of the claw’s retractor ligament. They would have provided a useful adaptation for climbing and holding onto their prey, using gravity and limb position to allow the claw to rotate as it was pushed deeper into prey which would have resulted in a maximum depth of trauma equal to the radius of the inside arch of the claw. The feet and hands of dromaeosaurs functioned both for locomotion (walking, running, and climbing) and as prey capture/grappling devices. We speculate that Velociraptor would have been able to support its weight on a small contact area while climbing.

The reversed hallux (Ostrom, 1969) of dromaeosaurs is now reconstructed so that this reduced digit was positioned craniolaterally and high on the metatarsus (Norell and Makovicky, 1999), a position that would functionally assist in climbing behavior.

We suggest that the anatomy, form, and function of digit II and manus claws of dromaeosaurs support the hypothesis of a prey capture/grappling/climbing function. This is supported by the homologous structures observed in the feet of modern perching/climbing birds. The geometry of dromaeosaur claws would have caused the claw to rotate as it was pushed deeper into prey which would have resulted in a maximum depth of trauma equal to the radius of the inside arch of the claw. The feet and hands of dromaeosaurs functioned both for locomotion (walking, running, and climbing) and as prey capture/grappling devices. We speculate that a ratchet-like “locking” ligament might have provided an energy-efficient way for dromaeosaurs to hook their recurved digit II claws into their prey, using body weight to lock the claws passively and allowing the jaws to dispatch prey.

CONCLUSIONS

The maximum value of stress generated by the FEM under the assumed loading conditions (400N) was around 60 MPa. The failure stress of extant claws (150–200 MPa) was significantly higher, suggesting that Velociraptor would have been able to support its weight on a small contact area while climbing.

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LITERATURE CITED
